

$$N_{sn} r_k$$

12/14/55

Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office | | | | Attorney Docket Number 9151-6 | | Series No. 09/823,002 | |
|---|-----|---|----------|--|-------|--|----------------------------|
| LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary) | | | | RECEIVED DEC 06 2001 TECH CENTER 1600/2900 | | RECEIVED JUL 24 2001 TECH CENTER 1600/2900 | |
| JUL 20 2001 PATENT & TRADEMARK OFFICE | | | | Applicants: Wheeler et al. | | Filing Date: March 30, 2001 | |
| | | | | Group: 1614 | | 646 | |
| U. S. PATENT DOCUMENTS | | | | | | | |
| Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date if Appropriate |
| NJB | 1. | 4,709,094 | 11/24/87 | Weber et al. | 564 | 238 | — |
| | 2. | 5,231,099 | 07/27/93 | Cook | 514 | 279 | — |
| | 3. | 5,604,228 | 02/18/97 | Keana et al. | 514 | 255 | — |
| | 4. | 5,753,516 | 05/19/98 | Heagy et al. | 436 | 501 | — |
| | 5. | 5,863,766 | 01/26/99 | Hillman et al. | 435 | 69.1 | — |
| | 6. | 5,866,323 | 02/02/99 | Markowitz et al. | 435 | 6 | — |
| | 7. | 5,911,970 | 06/15/99 | John et al. | 424 | 1.85 | — |
| | 8. | 5,916,755 | 06/29/99 | Kraus et al. | 435 | 7.1 | — |
| | 9. | 5,919,934 | 0706/99 | John et al. | 546 | 247 | — |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | Document Number | Date | Country | Class | Subclass | Translation Yes No |
| NFA | 10. | WO 97/34892 | 09/25/97 | PCT | C07D | 451/02 | |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
| NTM | 11. | I. Al-Nabulsi et al. Effect of ploidy, recruitment, environmental factors, and tamoxifen treatment on the expression of sigma-2 receptors in proliferating and quiescent tumour cells. <i>British Journal of Cancer</i> 81, 925-933 (1999). | | | | | |
| | 12. | M.E. Ganapathy et al. Molecular and ligand-binding characterization of the sigma-receptor in the Jurkat human T lymphocyte cell line. <i>J Pharmacol Exp Ther</i> 289, 251-260 (1999). | | | | | |
| | 13. | R.H. Mach et al. Sigma 2 receptors as potential biomarkers of proliferation in breast cancer. <i>Cancer Res</i> 57, 156-161 (1997). | | | | | |
| | 14. | K.T. Wheeler et al. Sigma-2 receptors as a biomarker of proliferation in solid tumours. <i>Br J Cancer</i> 82, 1223-1232 (2000). | | | | | |

 EXAMINER
EXAMINER

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

12/14/03